

DEP/REF#307



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Refund Section- Accounting Division
Office of Finance

In Re Application of: GALLI et al

Serial No.: 09/647939

Filed: October 18, 2000

#5

SECOND REQUEST FOR REFUND

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In the above identified case, deposit account 06-1358 has been improperly debited.

By this paper, a credit to deposit account 06-1358 is requested. An explanation of the debiting error is set out below, and copies of all documents in support of this request are attached.

Explanation of Error:

- Large Entity fee charged to Small Entity
- Fee should not have been charged to PTO account because it was paid by check
- PTO miscalculation
- Unknown and unidentifiable PTO charge

Type of Charge and Amount of Refund Requested:

<input checked="" type="checkbox"/> Filing fee	\$ 990.00
<input checked="" type="checkbox"/> Multiple dependent claims	\$ 270.00
TOTAL REFUND REQUESTED	

Called Secretary
for John Holman
29 Aug 2001
Explained why
Refund was not
granted

A Johnson
DO-EQ



Comments

On November 17, 2000, a Request for Refund and Withdrawal of Application was filed stating that the above-captioned application was a duplicate filing of Serial No. 09/673236. To date, no refund has been received.

On May 31, 2001, a further \$270 multiple dependent claim fee was charged to the deposit account. A copy of the Preliminary Amendment which was filed simultaneously with the application canceling the multiple dependency in this application is enclosed. Therefore, the \$270 charge is in error and coupled with the outstanding \$990 credit for withdrawing this duplicate application, a refund of \$1260.00 is requested and should be credited to undersigned counsel's deposit account

Attached Documents:

Copy Preliminary Amendment and date stamped postcard receipt filed October 18, 2000; and copy Request for Refund and Withdrawal of Application and date stamped postcard receipt filed November 17, 2000

Deposit Account Statement dated 5/31/01

Respectfully submitted

JACOBSON HOLMAN PLLC

By _____


John C. Holman
Registration No. 22,769
400 Seventh Street, N.W.
Washington, D.C. 20004
(202) 638-6666

I.D.: JCH/dls
Folio: P66004US0
Date: July 16, 2001



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

JACOBSON PRICE HOLMAN & STERN
BRUCE LETCHWORTH
400 SEVENTH STREET, N. W.

WASHINGTON DC 20004

FINA

Account No.	061358
Date	5-31-01
Page	3

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
5	24	01	8	408156		465	60.00	9927.24
5	24	01	9	408156		464	30.00	9897.24
5	24	01	20	PCT/US00/20179	P63818W00	190	490.00	9407.24
5	24	01	21	PCT/US00/20179	P63818W00	803	137.00	9270.24
5	24	01	22	PCT/US00/20179	P63818W00	155	313.50	8956.74
5	24	01	53	416934		567	60.00	8896.74
5	25	01	25	6168891	PSM P64917 US0	145	100.00	8796.74
5	25	01	41	09744242	HBS P66349US0	126	180.00	8616.74
5	25	01	68	1853169	SLH 16788	381	100.00	8516.74
5	25	01	133	417864		567	30.00	8486.74
5	29	01	2	09/122898	NAIH P64920 US0	704	-1240.00	9726.74
5	29	01	175	419165		567	60.00	9666.74
5	29	01	205	419205		567	60.00	9606.74
5	30	01	59	419452		566	15.00	9591.74
5	31	01	5	09647939	JCH P66004US0	968	270.00	9321.74
5	31	01	14	09377775	JCH P63851US0	242	605.00	8716.74

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED.

OPENING BALANCE 10216.24	TOTAL CHARGES 11960.50	TOTAL CREDITS 10461.00	CLOSING BALANCE 8716.74
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ATTENTION ATTENTION ATTENTION

Date Processed: 7-24-01

SOCO-PCT



ACH Payment

Attached Is The Most Current ACH Information

duplicate



Treasury Check

The Most Current ACH Information Was

Requested From This Customer With The Purpose Of

Processing His Refund Request By Electronic Fund

Transfer (EFT). No EFT Information Was Received.

Therefore, If Customer Is Due A Refund;

A Check Will Be Issued.



09/647939 tec

Credit To Deposit Account

This Request for Refund Will Be Processed By Crediting Deposit

Account Number 06-1358, If Customer Is Due A Refund.

ATTENTION ATTENTION ATTENTION

MSB

RECEIVED - CARRIAGE PCT/WINDOW CRYSTAL PLAZA 11, 8TH FLOOR

Atty Docket: P60004US0

Serial No.: New U.S. Nat'l. Phase Application

Applicant: Cesare GALLI et al.

Filing Date: October 18, 2000

Today's Date: October 18, 2000

90 Rec'd PCT/PTO 18 OCT 2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Preliminary Amendment to Lesser Fees
- International Search Report (EPO) (210)
- Request (101)
- First Page of Publ.
- Demand (401)
- IPER (409)
- 15 pg. Specif. with 12 claims and Abstract

Check for \$ 990.00 Check No. 49987 DUE DATE: October 20, 2000

JACOBSON, PRICE, HOLMAN & STERN PLLC
400 SEVENTH STREET, NW
WASHINGTON, DC 20004

cri





PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re application of: GALLI et al

Serial No.: 09/647939

Filed: October 18, 2000

For: SOURCE OF NUCLEI FOR NUCLEAR TRANSFER

REQUEST FOR REFUND AND WITHDRAWAL OF APPLICATION

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Through inadvertent error and mistake the above-captioned application, Serial No. 09/647939 is identical to Application 09/673236. Resulting from lack of identification from the foreign associate, undersigned counsel filed the same application twice and wishes to continue solely on Serial No. 09/673236, our folio P64474US0. Insofar as Serial No. 09/647939 is concerned, this application is herewith expressly withdrawn as being a duplicate of U.S. Serial No. 09/673236.

In light of this inadvertent error and the withdrawal of this application so as not to cause the Patent and Trademark Office to duplicate their effort on two applications for the same invention, it is requested that the payment of \$990 made October 18, 2000 for the filing of Serial No. 09/647939 be refunded to undersigned counsel's deposit account 06-1358.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By _____

John C. Holman
Registration No. 22,769
400 Seventh Street, N.W.
Washington, D.C. 20004

I.D.: JCH/dls (202) 638-6666

Folio: P66004US0

Date: November 17, 2000

✓ JPH&S Ref P66004USC

Serial/Patent No. 09/647939

Today's Date 11/17/00

Applicant, Patentee, Assignee GALLI et al.

Filing Date/Patent Date October 18, 2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

pp. Specification & Claims Response to Office Action
 Combined Declaration, Power of Attorney Disclosure Statement-IDS
 Preliminary Amendment Copies of References
 Rule 53 (b) Application Request for Refund
 Rule 53 (d)/RCE Application Request for Corrected Filing Receipt
 Claim to Priority and Certified Copy Petition for XOT
 Substitute Subsequent Declaration Notice of Appeal/Brief
 Maintenance Fee Sequence Listing Issue Fee Transmittal
 Drawings 1 Sheets Formal Informal
 Assignment/Change of Name Other Request for Refund
 Small Entity Declaration and Withdrawal of
 Check for \$ _____
Application

JPH&S 6/00 DUE DATE N/A
Person filing DLS





UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Cesare GALLI et al.

Serial No.: New

Filing Date: October 18, 2000

For: SOURCE OF NUCLEI FOR NUCLEAR TRANSFER

PRELIMINARY AMENDMENT TO LESSEN FEES

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Prior to initial examination, please amend the above-identified application as follows:

IN THE CLAIMS

Claim 3, line 1, delete "or 2";

Claim 4, line 1, delete "any preceding claim",
insert --claim 1--;

Claim 5, line 1, delete "any preceding claim",
insert --claim 1--;

Claim 6, line 3, delete "any of claims 1 to 5",
insert --claim 1--;

Claim 7, line 3, delete "any of claims 1 to 5",
insert --claim 1--;

Claim 10, lines 2-3, delete "any of claims 1 to 8",
insert --claim 1--;

Claim 11, lines 2-3, delete "any of claims 1 to 8",
insert --claim 1--;

Claim 12, line 1, delete "or 11".

REMARKS

The foregoing Preliminary Amendment is requested in order to delete the multiple dependent claims and avoid paying the multiple dependent claims fee.

Early action on the merits is respectfully requested.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By _____

John C. Holman
Reg. No. 22,769

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Washington, D.C. 20004-2201
(202) 638-6666

Atty. Docket: P66004US0
Date: October 18, 2000
JCH:crj